

COMPLIANCE · SENIOR MANAGEMENT SUPPORT · TRAINING · TECHNOLOGY · DUE DILIGENCE · GOVERNMENT SERVICES

Welcome to Riskshield!

We provide ongoing on-site and remote support, assistance and training in all areas of AML, Compliance, Remediation and Risk Management.

After an initial no-obligation discussion, we will jointly craft a personalised plan and strategy for your business and agree on a budget.

We can scale our teams to meet the project goals using internal and external resources. Our core team consists of 5 highly experienced, qualified experts in offshore administration, CDD/EDD management, and general remediation solutions.

Please get in touch with us to discuss your business requirements today.

Thank you.

Regards,  
The Riskshield Team

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### **Riskshield Services: Comprehensive Compliance & Risk Management Solutions**

#### **File Review & Compliance Services**

1. Full Compliance File Reviews – Ensure adherence to AML/CTF/CPF regulations and financial crime prevention standards.
2. Customer Risk Assessments – Evaluate and manage client risk levels effectively.
3. Conflict & Tax Compliance Checks – Verify regulatory alignment and mitigate risks.
4. Client File Remediation – Update and maintain compliance-ready records.
5. Enhanced Due Diligence (EDD) – Conduct in-depth verification, including Source of Wealth (SoW) and Source of Funds (SoF) checks.

#### **Project Management Solutions**

1. Entire Team Deployment – End-to-end compliance project management.
2. Hybrid Model – Work alongside your team to provide expertise and support.
3. Project Oversight – Ensure compliance projects meet regulatory standards and deadlines.

#### **Compliance Dip Test Services**

1. Spot Checks & Reviews – Conduct random and scheduled audits on high-risk clients.
2. Customer Due Diligence (CDD) – Perform identity verification and risk assessments.
3. Transaction & Payment Monitoring – Ensure compliance with anti-money laundering (AML) standards.

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4. Regulatory Oversight & Reporting – Provide risk-mitigation recommendations.

#### **Specialist Compliance Services**

1. Gap Analysis – Identify deficiencies in existing compliance frameworks.
2. Data Cleansing – Ensure the accuracy and completeness of client records.
3. Risk Profiling & Assessments – Regularly update client risk profiles and conduct ongoing assessments.
4. Annual Compliance Reviews – Maintain consistent regulatory oversight following your policies.
5. Exit management – monitor and manage the structured exit or transfer of client portfolios.
6. Litigation support – assist with the preparation of and response to (prevention of) mediation or litigation-related documentation.
7. Data access request - assist with responding to requests for information by third parties.

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#### **Why Choose Riskshield?**

1. Expert Team – Specialists with experience in AML, compliance, remediation and risk management.
2. Customised Solutions – Tailored to your business needs and regulatory requirements.
3. Time & Cost Efficiency – Reduce internal resource strain while ensuring compliance.
4. Objective & Consistent Reviews – Ensure regulatory standards are met with precision.

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#### **Let's strengthen your compliance framework. Contact Riskshield today!**

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